

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HJ
FD
Examiner
C-2202

In re Application of :
KEVIN A. SIMMS : TRANSFER SWITCH INCLUDING A CIRCUIT
Filed: Herewith : BREAKER HOUSING
: Attorney Docket No.: 01-EDP-259

10/003133 PRO
11/15/01


INFORMATION DISCLOSURE STATEMENT

November 15, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicant submits herewith copies of the patents cited on the attached form PTO/SB/08A for consideration during prosecution of the subject Application.

The Examiner's attention is also directed to the commonly assigned application (Attorney Docket No. 01-EDP-258) being filed concurrently herewith, as set forth on page 1, lines 2-4 of the specification. A copy of this application, marked "COPY" on the first sheet thereof, is enclosed for convenience of reference by the Examiner.

Additional background information is discussed in the specification under the heading "Background Information."

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the examiner of the art cited or an independent search by the examiner, and no representation of any nature is made or intended by the filing of this Statement.

In addition to the art cited on form PTO/SB/08A, Applicant and/or his attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration

has occurred, to the best of his their recall or judgment, none of such art is prior art which is more relevant than the art cited.

Respectfully submitted,



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<p>Substitute for form 1449A/PTO</p> <h1 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h1> <p style="text-align: center;">(use as many sheets as necessary)</p>				<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>Filed Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Kevin A. Simms</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>01-EDP-259</td> </tr> </table> <div style="position: absolute; right: 0; top: 0; transform: rotate(-90deg);">11/15/01</div> <div style="position: absolute; right: 0; top: 0; transform: rotate(-90deg);">10/03/33</div> <div style="position: absolute; right: 0; top: 0; transform: rotate(-90deg);">10/03/33 PTO</div> 		Application Number		Filing Date	Filed Herewith	First Named Inventor	Kevin A. Simms	Group Art Unit		Examiner Name		Attorney Docket No.	01-EDP-259
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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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